

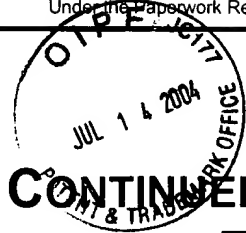
RCE/1745
93/8

PTO/SB/30 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000, provides for continued examination of an utility or plant application filed on or after June 8, 1995.
See The American Inventors Protection Act of 1999 (AIPA).

Application Number	09/554,794
Filing Date	August 14, 2000
First Named Inventor	SOICHIRO KAWAKAMI, ET AL.
Group Art Unit	1745
Examiner Name	Laura S. Weiner
Attorney Docket Number	00839.000425 (839.425)

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.

NOTE: 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53(d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

1. **Submission required under 37 C.F.R. § 1.114**

a. ☐ Previously submitted

i. ☐ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on _____
(Any unentered amendment(s) referred to above will be entered).

ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____

iii. ☐ Other _____

b. ☒ Enclosed

i. ☐ Amendment/Reply

ii. ☐ Affidavit(s)/Declaration(s)

iii. ☒ Information Disclosure Statement (IDS)

iv. ☒ Other Preliminary Amendment

07/15/2004 SSESHE1 00000113 09554794

01 FC:1801 770.00 OP

02 FC:1201 86.00 OP

2. **Miscellaneous**

a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(i) required)

b. ☐ Other _____

3. **Fees** The RCE fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed.

a. ☒ The Director is hereby authorized to charge any deficiencies in the following fees, or credit any overpayments, to Deposit Account No. 06-1205

i. ☒ RCE fee required under 37 C.F.R. § 1.17(e)

ii. ☒ Extension of time fee (37 C.F.R. §§ 1.136 and 1.17)

iii. ☐ Other _____

b. ☒ Checks in the amount of \$ 770.00 (RCE fee) and \$86.00 (additional claims fee) are enclosed

c. ☐ Payment by credit card (Form PTO-2038 enclosed)

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print/Type)	Damond E. Vadnais	Registration No. (Attorney/Agent)	52,310
Signature	<i>Damond Vadnais</i>	Date	July 14, 2004

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or facsimile transmitted to the U.S. Patent and Trademark Office on:

Name (Print/Type)	
Signature	
Date	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Director of the U.S.P.T.O., P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND Fees and Completed Forms to the following address: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



In re Application of:

SOICHIRO KAWAKAMI, ET AL.

Application No.: 09/554,794

Filed: August 14, 2000

Docket No. 00839.000425 (839.425)

Examiner: Laura S. Weiner

Group Art Unit: 1745

For: ELECTRODE MATERIAL FOR ANODE OF
RECHARGEABLE LITHIUM BATTERY,
ELECTRODE STRUCTURAL BODY
USING SAID ELECTRODE MATERIAL,
RECHARGEABLE LITHIUM BATTERY
USING SAID ELECTRODE STRUCTURAL
BODY, PROCESS FOR PRODUCING SAID
ELECTRODE STRUCTURAL BODY, AND
PROCESS FOR PRODUCING SAID
RECHARGEABLE LITHIUM BATTERY

July 14, 2004

Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Transmitted herewith is a Preliminary Amendment in the above-identified application.

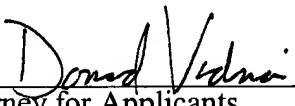
☐ No additional fee is required.

The fee has been calculated as shown below

CLAIMS AS AMENDED						
	(2) CLAIMS REMAINING AFTER AMENDMENT		(4) HIGHEST NO. PREVIOUSLY PAID FOR	(5) PRESENT EXTRA	RATE	ADDITIONAL FEE
TOTAL CLAIMS	314	MINUS	314	= 0	x \$9 \$18	\$ 0.00
INDEP. CLAIMS	8	MINUS	7	= 1	x \$43 \$86	\$ 86.00
Fee for Multiple Dependent claims \$145°/\$290						
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT---						\$ 86.00

- ☐ Verified Statement claiming small entity status is enclosed, if not filed previously.
- ☒ A check in the amount of \$ 86.00 is enclosed.
- ☐ Charge \$ _____ to Deposit Account No. 06-1205. A duplicate copy of this sheet is enclosed.
- ☒ Any prior general authorization to charge an issue fee under 37 C.F.R. 1.18 to Deposit Account No. 06-1205 is hereby revoked. The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. 1.16 and 1.17 which may be required during the entire pendency of this application, or to credit any overpayment, to Deposit Account No. 06-1205. A duplicate copy of this paper is enclosed.
- ☐ A check in the amount of \$ _____ to cover the fee for a _____ month extension is enclosed.
- ☒ A check in the amount of \$ 770.00 to cover the Request for Continued Examination (RCE) fee is enclosed.
- ☒ Applicants' undersigned attorney may be reached in our Washington, D.C. office by telephone at (202) 530-1010. All correspondence should continue to be directed to our address given below.

Respectfully submitted,



Attorney for Applicants
Damond E. Vadnais
Registration No. 52,310

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30 Rockefeller Plaza
New York, New York 10112-3800
Facsimile: (212) 218-2200
DEV/vc

00839.000425 (839.425)



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)	
	:	Examiner: Laura S. Weiner
SOICHIRO KAWAKAMI, ET AL.)	
	:	Group Art Unit: 1745
Application No.: 09/554,794)	
	:	
Filed: August 14, 2000)	
	:	
For: ELECTRODE MATERIAL FOR)	
ANODE OF RECHARGEABLE	:	
LITHIUM BATTERY,)	
ELECTRODE STRUCTURAL	:	
BODY USING SAID)	
ELECTRODE MATERIAL,	:	
RECHARGEABLE LITHIUM)	
BATTERY USING SAID	:	
ELECTRODE STRUCTURAL)	
BODY, PROCESS FOR	:	
PRODUCING SAID ELECTRODE)	
STRUCTURAL BODY, AND	:	
PROCESS FOR PRODUCING)	
SAID RECHARGEABLE	:	
LITHIUM BATTERY)	July 14, 2004

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

PRELIMINARY AMENDMENT

Sir:

Prior to examination, please amend the above-identified application as follows: